

NVB 3001 (Effective 1/21)

UNITED STATES BANKRUPTCY COURT FOR THE DISTRICT OF NEVADA		PROOF OF INTEREST
Name of Debtor: Meta Materials	Case Number: 24-50792	RECEIVED AND FILED DEC - 9 2024 U.S. BANKRUPTCY COURT MARY A. SCHOTT, CLERK COURT USE ONLY
1. Name and address of holder of the Equity Interest (the person or entity holding an Equity Interest in the Debtor. Referred to hereinafter as the "Interest holder"): Alan Weiss 5029 East Hobart St. Mesa, AZ 85205 Telephone Number: (480) 721-2435		
NOTE: This form SHOULD NOT be used to make a claim against the Debtor for money owed. A separate Proof of Claim form should be used for that purpose. This form should only be used to assert an Equity Interest in the Debtor. An Equity Interest is any right arising from any capital stock and any equity security in any of the Debtor. An equity security is defined in the Bankruptcy Code as (a) a share in a corporation whether or not transferable or denominated stock or similar security, (b) interest of a limited partner in a limited partnership, or (c) warrant or right other than a right to convert, to purchase, sell, or subscribe to a share, security, or interest of a kind specified in subsection (a) or (b) herein.		
Account or other number by which Interest holder identifies Debtor: 237-476012 and 237-476457	Check here if this claim: <input type="checkbox"/> replaces a previously filed Proof of Interest dated: _____ <input type="checkbox"/> amends a previously filed Proof of Interest dated: _____	
2. Name and Address of any person or entity that is the record holder for the Equity Interest asserted in this Proof of Interest: TD Ameritrade became Charles Schaub Telephone Number: 800-669-3900	3. Date Equity Interest was acquired: 6/28/2021 See transaction Log included	
4. Total amount of member interest: <u>12,038 shares</u>	5. Certificate number(s): _____	
6. Type of Equity Interest: Please indicate the type of Equity Interest you hold: <input checked="" type="checkbox"/> Check this box if your Equity Interest is based on an actual member interest held in the Debtor. <input type="checkbox"/> Check this box if your Equity Interest is based on anything else and describe that interest: Description: <u>Retail Investor</u>		
7. Supporting Documents: Attach copies of supporting documents, such as stock certificates, option agreements, warrants, etc. DO NOT SEND ORIGINAL DOCUMENTS. If the documents are not available, explain. If the documents are voluminous, attach a summary.		
8. Date-Stamped Copy: To receive an acknowledgement of the filing of your Proof of Interest, enclose a stamped, self-addressed envelope and copy of this Proof of Interest.		
9. Signature: Check the appropriate box. <input checked="" type="checkbox"/> I am the creditor. <input type="checkbox"/> I am the creditor's authorized agent. (Attach copy of power of attorney, if any.) <input type="checkbox"/> I am the trustee, or the debtor, or their authorized agent. (See Bankruptcy Rule 3004.) <input type="checkbox"/> I am a guarantor, surety, endorser, or other codebtor. (See Bankruptcy Rule 3005.) I declare under penalty of perjury that the information provided in this claim is true and correct to the best of my knowledge, information, and reasonable belief.		
Print Name: <u>Alan Weiss</u> Title: <u>Retail Investor</u> Company: _____ Address and telephone number (if different from notice address above): _____ <div style="display: flex; justify-content: space-between;"> <div> Telephone number: <u>480-721-2435</u> </div> <div> email: <u>alan.weiss@asu.edu</u> </div> </div>		

Penalty for presenting fraudulent claim is a fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 AND 3571

IRA Transactions (237-476457)

Date	Action	Shares
6/28/2021	Journaled Shares	1492
6/28/2021	Sell	4
6/28/2021	Sell	1
6/28/2021	Sell	1487
7/9/2021	Journaled Shares	1559
7/9/2021	Sell	7
7/9/2021	Buy	1
8/16/2021	Sell	1553

Trading Account Transactions (237-476012)

6/28/2021	Journaled Shares	1414
6/28/2021	Sell	4
6/28/2021	Sell	4
6/28/2021	Buy	4
6/28/2021	Buy	1
6/28/2021	Sell	20
6/28/2021	Buy	20
6/28/2021	Sell	411
6/28/2021	Sell	10
6/28/2021	Sell	990
6/29/2021	Buy	9
7/2/2021	Buy	1426
7/2/2021	Buy	3600
7/2/2021	Buy	39
7/8/2021	Buy	50
7/8/2021	Sell	2124
7/9/2021	Sell	20
7/9/2021	Sell	25
7/9/2021	Sell	550
7/9/2021	Sell	370
7/9/2021	Sell	1360
7/9/2021	Journaled Shares	1474
7/9/2021	Buy	55
7/9/2021	Buy	1
7/9/2021	Buy	4
8/16/2021	Sell	400
8/16/2021	Sell	1809
1/14/2022	Buy	585
2/11/2022	Buy	142
9/13/2022	Sell	727
11/7/2022	Buy	162
11/10/2022	Sell	162



ALAN E WEISS
5029 E HOBART ST
MESA, AZ 85205-6882

Terms and Conditions

TD Ameritrade provides monthly statements for accounts with activity and quarterly statements for inactive accounts with assets.¹

Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month.² The asset allocation indicates your portfolio diversification by investment type.

Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity / (Total Long Marginable Value + Total Short Value)

Activity Summary: The opening and closing cash balances are reconciled here for quick reference. All account activity is summarized for the current period and year-to-date.

Income & Expense Summary (non-IRAs only): This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

Retirement Account Summary (IRAs only): Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current account value including Alternative Investments as of December 31 of the previous year and will be furnished to the IRS.

Performance Summary: Monitor annual portfolio performance and the unrealized gains and losses for your future investment strategy.

Account Positions: View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income² and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period). This statement represents a statement of account assets and account activity of your account only. There is no guarantee that you will receive this amount or any dividend.

Account Activity: All account activity is listed in date order, and reflected in the closing cash balance.

Trades Pending Settlement: Confirm executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

Online Cash Services Transaction Detail: Cash management transactions that cleared during this period, including check, debit card and ATM activity, are listed in date order.

Online Cash Services Summary: Credit and debit transaction totals for the current period are summarized. Individual transactions are not listed.

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary.

Accuracy of Reports: Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please contact us at 800-669-3900 within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

Account Protection: TD Ameritrade FDIC Insured Deposit Account ("IDA") deposits are held at one or more banks ("Program Banks"). Three of the Program Banks are Charles Schwab Bank, SSB; Charles Schwab Premier Bank, SSB; and Charles Schwab Trust Bank, each an affiliate of TD Ameritrade. IDA deposits are insured by the FDIC (not covered by SIPC) up to \$250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to www.fdic.gov. Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of SIPC, which protects securities customers of its members up to \$500,000 (including \$250,000 for claims for cash). Explanatory brochure is available on request at www.sipc.org.

Additionally, TD Ameritrade provides each client \$149.5 million worth of protection for securities and \$2 million of protection for cash through supplemental coverage provided by London insurers. In the event of brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of \$152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of \$500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the FDIC or any other government agency. Although certain money market funds may seek to preserve their value of your investment at \$1 per share, it is possible to lose money by investing in such a fund. Mutual fund purchases may be subject to eligibility and other restrictions, as well as charges and expenses. Certain money market funds may impose liquidity fees and redemption gates in certain circumstances.

Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

¹Due to rounding adjustments, the statement details may not equal the statement totals.

²Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

Tax Reporting: The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

Cost Basis: Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

Margin and Options Account Agreements: Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. Commission/Fee represents base commission and any options contract, exercise, and assignment fees.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Not Available ("NA"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits ("CDs") is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

Bonds Quantity: The figure on the statement represents the number of bonds. For example, \$3,000 of a \$1,000 face value bond would display as "3" in the quantity.

Alternative Investments ("AI"), also called Non Standard Assets ("NSA"), are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. AI are typically illiquid investments and do not trade on a national securities exchange. The values shown for these investments are estimated values derived from various methods, including, but not limited to, unconfirmed management estimates, independent appraisals, the program's net assets, and/or third party lender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Not Available ("NA"). For additional detail regarding valuation for Alternative Investments, please contact Client Services. These investments are not covered under the SIPC. AI transaction fees are applicable to purchases, capital call processing, and redemptions.

Δ Distributions on Direct Participation Programs and/or REITs are reported and a net investment per share estimated value is also reported. Pricing and distribution information has been provided by the sponsor, issuer or other external party responsible for reporting of the DPP or REIT and the classification of distributions as income or return of capital, in whole or in part, is subject to final accounting by such party(ies) and will be reported to you on a Form 1099 or K-1, as applicable.

Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS may lack liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal and state laws, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or www.finra.org.

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

MSRB RULE G-10: TD Ameritrade, Inc. is registered with the Municipal Securities Rulemaking Board and the U.S. Securities and Exchange Commission. The website address for the Municipal Securities Rulemaking Board is <http://www.msrb.org>. An investor brochure is available on the website of the Municipal Securities Rulemaking Board which describes the protections that may be provided by the Municipal Securities Rulemaking Board rules and how to file a complaint with an appropriate regulatory authority.

Financial Statement Notification: The statement of financial condition for TD Ameritrade Clearing, Inc. is available twice a year and may be obtained at no cost, via the internet at <http://www.tdameritrade.com/financialstatement.html>.

Option Assignment: All is available for assignment. E allocated among all clients'

Free Credit Balances (Rul free credit balances in your payable to you on demand.

Margin Accounts (Regula your margin account and a risks and is not suitable for available in the margin risk contacting us at the numbe

Payment for Order Flow Dis broker-dealers to disclose, up (i) its policies regarding rec payment for order flow is re nature of the compensation orders that are the subject

The firm receives compensa selected market centers (e. execution). Compensation gr potential for receipt of order also may receive compensa in foreign securities from m TD Ameritrade posts quarter routes orders in NMS Secu centers. This report is made written form upon request. provide the identity of the n months prior to the request, v time of the executions, if ar order flow.

TD Ameritrade regularly ass route order flow in seeking policy to route orders to mar the Supplemental Materials limited to, speed of execution, p likelihood of executions, the i the reliability of order handi whether the firm will receiv improvement occurs when ar national best bid or offer.

Trade Confirmations (Rul you participate in the Divide dividends will be included c your DRIP purchases execi

Futures/Options Disclosu Insurance-based Investor European Economic Area (following landing page: <http://www.tdameritrade.com/futuresoptions>

Taxes: Transactions in fore U.S.) may include taxes an may be reflected in the pric

Privacy Policy Notificatio www.tdameritrade.com/privacy

Callable Securities: The i system. A description of the copies are available upon r

In case of errors or questio 1-800-669-3900, or in writr clientservices@tdameritrad binding upon you if you do Regulation E, such as ATM withdrawals. We must hear statement on which the err (1) Tell us your name and (2) Describe the error or tl can why you believe it (3) Tell us the dollar amou. We will investigate your cor business days to do this, w you will have the use of the



Statement Reporting Period:

07/01/21 - 07/31/21

800-669-3900
 TD AMERITRADE
 DIVISION OF TD AMERITRADE INC
 PO BOX 2209
 OMAHA, NE 68103-2209
 TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 237-476012

ALAN E WEISS
 5029 E HOBART ST
 MESA, AZ 85205-6882

Portfolio Summary						
Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Margin Loan	(\$28.36)	\$73.15	(\$101.51)	-	\$ -	-
Insrd Dep Acct (IDA)	4.98	0.32	4.66	1456.3%	-	0.01%
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	7,731.50	10,906.35	(3,174.85)	(29.1)%	-	-
Short Stocks	-	-	-	-	-	-
Fixed Income	-	-	-	-	-	-
Options	-	-	-	-	-	-
Short Options	-	-	-	-	-	-
Mutual Funds	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total	\$7,708.12	\$10,979.82	(\$3,271.70)	(29.8)%	\$ 0.00	0.0%
Margin Equity	100.0%					

Cash Activity Summary			Income & Expense Summary			Performance
	Current	YTD		Reportable	Non Reportable	YTD
Opening Balance	\$73.15	\$ -	Income			Cost Bas
Securities Purchased	(35,391.74)	(209,676.75)	Dividends	\$ -	\$ -	Unrealize
Securities Sold	25,386.25	185,367.20	Interest	0.01	-	0.01
Funds Deposited	10,000.00	24,455.61	Other	-	-	-
Funds Disbursed	-	-	Expense			Income/(l
Income	0.01	0.01	Interest	(28.37)	-	(68.45)
Expense	(91.37)	(169.45)	Fees	-	(63.00)	(101.00)
Other	(4.66)	(4.98)	Other	-	-	-
Closing Balance	(\$28.36)	(\$28.36)	Net	(\$28.36)	(\$63.00)	(\$169.44)

Statement for Account # 237-476012

07/01/21 - 07/31/21

Online Cash Services Summary	
Description	Current
CREDITS	
Electronic Transfer	\$ 10,000.00
Subtotal	10,000.00
TOTAL	10,000.00

Income Summary Detail*	
Description	Current
Interest Income Credit Balance	\$ 0.01
Margin Interest Charged	(28.37)

*This section displays current and year to date totals for this account. The year to date totals will accurately reflect your cumulative amount for the year. Year-end tax reporting income is based on the year-end totals of the monthly statements versus your tax documents. Please reference your official tax document(s) for tax reporting.

Account Positions							
Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost
Stocks - Margin							
META MATERIALS INC COM	MMAT	2,209	\$ 3.50	\$ 7,731.50	07/02/21	\$ 21,854.52	\$ 9.8
META MATERIALS INC COM	59134N203	2,829	NA	NA	-	-	
Total Stocks				\$7,731.50		\$21,854.52	
Total Margin Account				\$7,731.50		\$21,854.52	

Online Cash Services Transaction Detail		
Category	Transaction Date	Description
CREDITS		
Electronic Transfer	07/06/2021	ACH IN
	07/06/2021	ACH IN
	07/08/2021	ACH IN-RETURN-Insufficient Funds
Subtotal		
TOTAL		

Statement for Account # 237-476012

07/01/21 - 07/31/21

Account Activity						
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity
Opening Balance						
06/29/21	07/01/21	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	9
07/01/21	07/01/21	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-
07/02/21	07/06/21	Margin	- Funds Deposited	ACH IN	-	-
07/02/21	07/06/21	Margin	- Funds Deposited	ACH IN	-	-
07/06/21	07/06/21	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-
07/02/21	07/07/21	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	1,426
07/02/21	07/07/21	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	3,600
07/02/21	07/07/21	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	39
07/02/21	07/07/21	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	50
07/08/21	07/08/21	Margin	- Funds Deposited	ACH IN-RETURN-Insufficient Funds	-	-
07/08/21	07/08/21	Margin	Journal - Expense	ACH RETURN CHARGE (Insufficient Funds)	-	-
07/08/21	07/08/21	Margin	Received - Other	META MATERIALS INC COM Stock DivSplit on 2829 TORCHLIGHT ENERGY RESOURCES IN 1:2 R/S 6/28/21 59134N104 SPINOFF FROM CUSIP 89102U103 Payable: 06/25/2021	59134N203	2,829
07/09/21	07/09/21	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-
07/09/21	07/09/21	Margin	Delivered - Other	METAMATERIAL INC 1.845:1 EXC 6/29/21 59134N104 1.845:1 EXCHANGE TO META MATERIALS INC 59134N104 Auto Reorg#545600 STOCK PAYMENT	59134F101	799-

Statement for Account # 237-476012

07/01/21 - 07/31/21

Account Activity						
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity
07/09/21	07/09/21	Margin	Received - Other	META MATERIALS INC COM 1.845:1 EXCHANGE TO META MATERIALS INC 59134N104 Auto Reorg#545600 STOCK PAYMENT	MMAT	1,474
07/09/21	07/09/21	Margin	Journal - Expense	MANDATORY REORGANIZATION FEE Auto Reorg#545600	59134F101	-
07/09/21	07/09/21	Margin	Div/Int - Securities Sold	METAMATERIAL INC 1.845:1 EXC 6/29/21 59134N104 REORGANIZATION CASH IN LIEU \$5.315/SHARE Auto Reorg#545600 Payable: 07/09/2021	59134N104	-
07/08/21	07/12/21	Margin	Sell - Securities Sold	META MATERIALS INC COM Regulatory Fee 0.31	MMAT	2,124-
07/09/21	07/13/21	Margin	Sell - Securities Sold	META MATERIALS INC COM	MMAT	20-
07/09/21	07/13/21	Margin	Sell - Securities Sold	META MATERIALS INC COM Regulatory Fee 0.01	MMAT	25-
07/09/21	07/13/21	Margin	Sell - Securities Sold	META MATERIALS INC COM Regulatory Fee 0.08	MMAT	550-
07/09/21	07/13/21	Margin	Sell - Securities Sold	META MATERIALS INC COM Regulatory Fee 0.05	MMAT	370-
07/09/21	07/13/21	Margin	Sell - Securities Sold	META MATERIALS INC COM Regulatory Fee 0.21	MMAT	1,360-
07/09/21	07/13/21	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	55
07/09/21	07/13/21	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	1
07/09/21	07/13/21	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	4

Statement for Account # 237-476012

07/01/21 - 07/31/21

Account Activity						
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity
07/13/21	07/13/21	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-
07/21/21	07/21/21	Margin	Div/Int - Securities Sold	TORCHLIGHT ENERGY RESOURCES IN 1:2 R/S 6/28/21 59134N104 REORGANIZATION CASH IN LIEU \$3.3901/SHARE Auto Reorg#539843 Payable: 06/28/2021	TRCH	-
07/22/21	07/22/21	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-
07/30/21	07/30/21	Margin	Div/Int - Expense	MARGIN INTEREST CHARGE Payable: 07/30/2021	-	-
07/30/21	07/30/21	Margin	Div/Int - Income	INTEREST CREDIT Payable: 07/30/2021	-	-

Closing Balance

*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

TD Ameritrade Cash Interest Credit/Expense				
Begin Date	Margin Balance	Credit Balance	Number of Days	Interest Rate
07/06/21	\$ -	\$ 34,998.74	1	0.01
07/08/21	(25,023.44)	-	1	9.00
07/09/21	(25,060.62)	-	3	9.00
07/12/21	(12,875.54)	-	1	9.25

Total Interest Income/(Expense)

Insured Deposit Account Interest Credited				
Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued
07/01/21	\$ 0.30	5	0.0100	\$ -
07/06/21	1.56	2	0.0100	-
07/13/21	3.28	9	0.0100	-
07/22/21	4.98	10	0.0100	-

Total Interest Income

Statement for Account # 237-476012

07/01/21 - 07/31/21

Insured Deposit Account Activity						
Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code
Opening Balance						
07/01/21	-	07/01/21	Delivered	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-
07/06/21	-	07/06/21	Received	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-
07/09/21	-	07/09/21	Delivered	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-
07/13/21	-	07/13/21	Received	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-
07/22/21	-	07/22/21	Received	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-

Closing Balance**TD Bank USA NA**

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by one or more banks ("Program Banks"). Three of the banks are TD Bank USA NA, TD Bank USA NA, and Charles Schwab Trust Bank, each an affiliate of TD Ameritrade.

Important Information**BREAKPOINTS**

Certain purchases of Class A Mutual Funds may be eligible for breakpoints on, and waivers of, the sales charge. To learn more about breakpoint http://www.finra.org/industry/issues/breakpoints. For more information on waiver eligibility, please refer to the fund prospectus.



ALAN E WEISS
5029 E HOBART ST
MESA, AZ 85205-6882

Terms and Conditions

TD Ameritrade provides monthly statements for accounts with activity and quarterly statements for inactive accounts with assets.¹

Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month.² The asset allocation indicates your portfolio diversification by investment type. Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity / (Total Long Marginable Value + Total Short Value)

Activity Summary: The opening and closing cash balances are reconciled here for quick reference. All account activity is summarized for the current period and year-to-date.

Income & Expense Summary (non-IRAs only): This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

Retirement Account Summary (IRAs only): Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current account value including Alternative Investments as of December 31 of the previous year and will be furnished to the IRS.

Performance Summary: Monitor annual portfolio performance and the unrealized gains and losses for your future investment strategy.

Account Positions: View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income² and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period). This statement represents a statement of account assets and account activity of your account only. There is no guarantee that you will receive this amount or any dividend.

Account Activity: All account activity is listed in date order, and reflected in the closing cash balance.

Trades Pending Settlement: Confirm executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

Online Cash Services Transaction Detail: Cash management transactions that cleared during this period, including check, debit card and ATM activity, are listed in date order.

Online Cash Services Summary: Credit and debit transaction totals for the current period are summarized. Individual transactions are not listed.

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary.

Accuracy of Reports: Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please contact us at 800-669-3900 within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

Account Protection: TD Ameritrade FDIC Insured Deposit Account ("IDA") deposits are held at one or more banks ("Program Banks"). Three of the Program Banks are Charles Schwab Bank, SSB; Charles Schwab Premier Bank, SSB; and Charles Schwab Trust Bank, each an affiliate of TD Ameritrade. IDA deposits are insured by the FDIC (not covered by SIPC) up to \$250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to www.fdic.gov. Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of SIPC, which protects securities customers of its members up to \$500,000 (including \$250,000 for claims for cash). Explanatory brochure is available on request at www.sipc.org.

Additionally, TD Ameritrade provides each client \$149.5 million worth of protection for securities and \$2 million of protection for cash through supplemental coverage provided by London insurers. In the event of brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of \$152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of \$500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the FDIC or any other government agency. Although certain money market funds may seek to preserve their value of your investment at \$1 per share, it is possible to lose money by investing in such a fund. Mutual fund purchases may be subject to eligibility and other restrictions, as well as charges and expenses. Certain money market funds may impose liquidity fees and redemption gates in certain circumstances.

Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

¹Due to rounding adjustments, the statement details may not equal the statement totals.

²Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

Tax Reporting: The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

Cost Basis: Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

Margin and Options Account Agreements: Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. Commission/Fee represents base commission and any options contract, exercise, and assignment fees.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Not Available ("NA"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits ("CDs") is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

Bonds Quantity: The figure on the statement represents the number of bonds. For example, \$3,000 of a \$1,000 face value bond would display as "3" in the quantity.

Alternative Investments ("AI"), also called Non Standard Assets ("NSA"), are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. AI are typically illiquid investments and do not trade on a national securities exchange. The values shown for these investments are estimated values derived from various methods, including, but not limited to, unconfirmed management estimates, independent appraisals, the program's net assets, and/or third party lender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Not Available ("NA"). For additional detail regarding valuation for Alternative Investments, please contact Client Services. These investments are not covered under the SIPC. AI transaction fees are applicable to purchases, capital call processing, and redemptions.

Δ Distributions on Direct Participation Programs and/or REITs are reported and a net investment per share estimated value is also reported. Pricing and distribution information has been provided by the sponsor, issuer or other external party responsible for reporting of the DPP or REIT and the classification of distributions as income or return of capital, in whole or in part, is subject to final accounting by such party(ies) and will be reported to you on a Form 1099 or K-1, as applicable.

Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS may lack liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal and state laws, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or www.finra.org.

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

MSRB RULE G-10: TD Ameritrade, Inc. is registered with the Municipal Securities Rulemaking Board and the U.S. Securities and Exchange Commission. The website address for the Municipal Securities Rulemaking Board is <http://www.msrb.org>. An investor brochure is available on the website of the Municipal Securities Rulemaking Board which describes the protections that may be provided by the Municipal Securities Rulemaking Board rules and how to file a complaint with an appropriate regulatory authority.

Financial Statement Notification: The statement of financial condition for TD Ameritrade Clearing, Inc. is available twice a year and may be obtained at no cost, via the internet at <http://www.tdameritrade.com/financialstatement.html>.

Option Assignment: All is available for assignment. E allocated among all clients'

Free Credit Balances (Rul free credit balances in your payable to you on demand.

Margin Accounts (Regula your margin account and a risks and is not suitable for available in the margin risk contacting us at the numbe

Payment for Order Flow Dis broker-dealers to disclose, up (i) its policies regarding rec payment for order flow is re nature of the compensation orders that are the subject

The firm receives compensa selected market centers (e. execution). Compensation g potential for receipt of order also may receive compensa in foreign securities from m TD Ameritrade posts quart routes orders in NMS Secu centers. This report is made written form upon request. provide the identity of the r months prior to the request, time of the executions, if an order flow.

TD Ameritrade regularly ass route order flow in seeking policy to route orders to mar the Supplemental Materials limited to, speed of execution, p likelihood of executions, the i the reliability of order hand whether the firm will receiv improvement occurs when an national best bid or offer.

Trade Confirmations (Rul you participate in the Divid dividends will be included c your DRIP purchases exco

Futures/Options Disclosu Insurance-based Investor European Economic Area (following landing page: <http://>

Taxes: Transactions in fore U.S.) may include taxes an may be reflected in the pric

Privacy Policy Notificatio www.tdameritrade.com

Callable Securities: The s system. A description of the copies are available upon r

In case of errors or questio 1-800-669-3900, or in writir clientservices@tdameritrad binding upon you if you do Regulation E, such as ATM withdrawals. We must hear statement on which the err (1) Tell us your name and (2) Describe the error or it can why you believe it (3) Tell us the dollar amou. We will investigate your cor business days to do this, w you will have the use of the



Statement Reporting Period:

06/01/21 - 06/30/21

800-669-3900
 TD AMERITRADE
 DIVISION OF TD AMERITRADE INC
 PO BOX 2209
 OMAHA, NE 68103-2209
 TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 237-476012

ALAN E WEISS
 5029 E HOBART ST
 MESA, AZ 85205-6882

Portfolio Summary						
Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	\$73.15	(\$29.16)	\$102.31	-	\$ -	-
Insrd Dep Acct (IDA)	0.32	86.57	(86.25)	(99.6)%	-	0.01%
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	10,906.35	31,328.18	(20,421.83)	(65.2)%	-	-
Short Stocks	-	-	-	-	-	-
Fixed Income	-	-	-	-	-	-
Options	-	-	-	-	-	-
Short Options	-	-	-	-	-	-
Mutual Funds	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total	\$10,979.82	\$31,385.59	(\$20,405.77)	(65.0)%	\$ 0.00	0.0%
Margin Equity	100.0%					

Cash Activity Summary			Income & Expense Summary			Perform
	Current	YTD		Reportable	Non Reportable	YTD
Opening Balance	(\$29.16)	\$ -	Income			Cost Bas
Securities Purchased	(79,519.91)	(174,285.01)	Dividends	\$ -	\$ -	Unrealize
Securities Sold	76,323.99	159,980.95	Interest	-	-	Unrealize
Funds Deposited	3,250.00	14,455.61	Other	-	-	Funds De
Funds Disbursed	-	-	Expense			Income/(l
Income	-	-	Interest	(0.02)	-	Securitie
Expense	(38.02)	(78.08)	Fees	-	(38.00)	**To view r
Other	86.25	(0.32)	Other	-	-	www.tdam
Closing Balance	\$73.15	\$73.15	Net	(\$0.02)	(\$38.00)	(\$78.08)

Statement for Account # 237-476012

06/01/21 - 06/30/21

Online Cash Services Summary

Description	Current
CREDITS	
Electronic Transfer	\$ 3,250.00
Subtotal	3,250.00
TOTAL	3,250.00

Income Summary Detail

Description	Current
Margin Interest Charged	\$ (0.02)

*This section displays current and year to date totals for this account. The year to date totals will accurately reflect your cumulative amount for the year. Year-end tax reporting income is based on the year-end statements versus your tax documents. Please reference your official tax document(s) for tax reporting.

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost
Stocks - Margin							
METAMATERIAL INC EXC 6/29/21 RESEARCHING	59134F101	799	\$ 13.65	\$ 10,906.35	-	\$ -	\$
Total Stocks				\$10,906.35		\$0.00	
Total Margin Account				\$10,906.35		\$0.00	

Online Cash Services Transaction Detail

Category	Transaction Date	Description
CREDITS		
Electronic Transfer	06/07/2021	ACH IN
	06/21/2021	ACH IN
Subtotal		
TOTAL		

Statement for Account # 237-476012

06/01/21 - 06/30/21

Account Activity						
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity
Opening Balance						
06/01/21	06/01/21	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-
06/04/21	06/07/21	Margin	- Funds Deposited	ACH IN	-	-
06/07/21	06/07/21	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-
06/04/21	06/08/21	Margin	Buy - Securities Purchased	CLOVER HEALTH INVESTMENTS CORP COM CL A	CLOV	1
06/08/21	06/08/21	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-
06/07/21	06/09/21	Margin	Sell - Securities Sold	ASIA BROADBAND INC COM Commission/Fee 6.95 Regulatory Fee 0.60	AABB	5,010-
06/07/21	06/09/21	Margin	Sell - Securities Sold	ASIA BROADBAND INC COM Regulatory Fee 5.47	AABB	123,611-
06/07/21	06/09/21	Margin	Sell - Securities Sold	ASIA BROADBAND INC COM	AABB	77-
06/07/21	06/09/21	Margin	Sell - Securities Sold	ASIA BROADBAND INC COM	AABB	5,280-
06/07/21	06/09/21	Margin	Sell - Securities Sold	ASIA BROADBAND INC COM Regulatory Fee 0.01	AABB	15,000-
06/07/21	06/09/21	Margin	Sell - Securities Sold	ASIA BROADBAND INC COM Regulatory Fee 0.01	AABB	11,597-
06/07/21	06/09/21	Margin	Buy - Securities Purchased	NEWRON SPORT COM Commission/Fee 6.95	NSPT	4,500,000
06/07/21	06/09/21	Margin	Buy - Securities Purchased	PHI GROUP INC COM Commission/Fee 6.95	PHIL	475,500
06/09/21	06/09/21	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-

Statement for Account # 237-476012

06/01/21 - 06/30/21

Account Activity						
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity
06/09/21	06/11/21	Margin	Sell - Securities Sold	PHI GROUP INC COM Commission/Fee 6.95 Regulatory Fee 2.55	PHIL	21,464-
06/09/21	06/11/21	Margin	Sell - Securities Sold	PHI GROUP INC COM Regulatory Fee 3.42	PHIL	454,036-
06/09/21	06/11/21	Margin	Sell - Securities Sold	CLOVER HEALTH INVESTMENTS CORP COM CL A	CLOV	1-
06/09/21	06/11/21	Margin	Buy - Securities Purchased	AIRBORNE WIRELESS NETWORK COM Commission/Fee 6.95	ABWN	4,600,000
06/11/21	06/11/21	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-
06/14/21	06/16/21	Margin	Sell - Securities Sold	NEWRON SPORT COM Commission/Fee 6.95 Regulatory Fee 5.95	NSPT	100,000-
06/14/21	06/16/21	Margin	Sell - Securities Sold	NEWRON SPORT COM Regulatory Fee 0.05	NSPT	1,889,710-
06/14/21	06/16/21	Margin	Buy - Securities Purchased	CAFE SERENDIPITY HOLDINGS COM Commission/Fee 6.95	CAFS	50,300
06/14/21	06/16/21	Margin	Buy - Securities Purchased	CAFE SERENDIPITY HOLDINGS COM	CAFS	12,500
06/14/21	06/16/21	Margin	Buy - Securities Purchased	CAFE SERENDIPITY HOLDINGS COM	CAFS	17,200
06/14/21	06/16/21	Margin	Sell - Securities Sold	NEWRON SPORT COM Commission/Fee 6.95 Regulatory Fee 6.01	NSPT	2,174,690-
06/14/21	06/16/21	Margin	Sell - Securities Sold	NEWRON SPORT COM	NSPT	20,600-

Statement for Account # 237-476012

06/01/21 - 06/30/21

Account Activity						
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity
06/14/21	06/16/21	Margin	Sell - Securities Sold	NEWRON SPORT COM Commission/Fee 6.95 Regulatory Fee 5.96	NSPT	315,000-
06/16/21	06/16/21	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-
06/17/21	06/17/21	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-
06/16/21	06/18/21	Margin	Buy - Securities Purchased	CAFE SERENDIPITY HOLDINGS COM Commission/Fee 6.95	CAFS	8,300
06/17/21	06/21/21	Margin	Sell - Securities Sold	CAFE SERENDIPITY HOLDINGS COM Commission/Fee 6.95 Regulatory Fee 2.99	CAFS	25,000-
06/17/21	06/21/21	Margin	Sell - Securities Sold	CAFE SERENDIPITY HOLDINGS COM Regulatory Fee 2.99	CAFS	25,000-
06/17/21	06/21/21	Margin	Sell - Securities Sold	CAFE SERENDIPITY HOLDINGS COM Regulatory Fee 0.02	CAFS	38,300-
06/17/21	06/21/21	Margin	Buy - Securities Purchased	TORCHLIGHT ENERGY RESOURCES IN 1:2 R/S 6/28/21 59134N104	TRCH	1,780
06/17/21	06/21/21	Margin	Buy - Securities Purchased	TORCHLIGHT ENERGY RESOURCES IN 1:2 R/S 6/28/21 59134N104	TRCH	15
06/17/21	06/21/21	Margin	Buy - Securities Purchased	TORCHLIGHT ENERGY RESOURCES IN 1:2 R/S 6/28/21 59134N104	TRCH	1
06/17/21	06/21/21	Margin	Buy - Securities Purchased	TORCHLIGHT ENERGY RESOURCES IN 1:2 R/S 6/28/21 59134N104	TRCH	1
06/17/21	06/21/21	Margin	Buy - Securities Purchased	TORCHLIGHT ENERGY RESOURCES IN 1:2 R/S 6/28/21 59134N104	TRCH	1
06/18/21	06/21/21	Margin	- Funds Deposited	ACH IN	-	-

Statement for Account # 237-476012

06/01/21 - 06/30/21

Account Activity						
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity
06/18/21	06/22/21	Margin	Sell - Securities Sold	AIRBORNE WIRELESS NETWORK COM Commission/Fee 6.95 Regulatory Fee 5.96	ABWN	4,600,000-
06/18/21	06/22/21	Margin	Buy - Securities Purchased	TORCHLIGHT ENERGY RESOURCES IN 1:2 R/S 6/28/21 59134N104	TRCH	490
06/18/21	06/22/21	Margin	Buy - Securities Purchased	TORCHLIGHT ENERGY RESOURCES IN 1:2 R/S 6/28/21 59134N104	TRCH	2
06/18/21	06/22/21	Margin	Buy - Securities Purchased	TORCHLIGHT ENERGY RESOURCES IN 1:2 R/S 6/28/21 59134N104	TRCH	1
06/18/21	06/22/21	Margin	Buy - Securities Purchased	TORCHLIGHT ENERGY RESOURCES IN 1:2 R/S 6/28/21 59134N104	TRCH	535
06/18/21	06/22/21	Margin	Buy - Securities Purchased	TORCHLIGHT ENERGY RESOURCES IN 1:2 R/S 6/28/21 59134N104	TRCH	3
06/22/21	06/22/21	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-
06/22/21	06/24/21	Margin	Sell - Securities Sold	TORCHLIGHT ENERGY RESOURCES IN 1:2 R/S 6/28/21 59134N104	TRCH	1-
06/22/21	06/24/21	Margin	Buy - Securities Purchased	TORCHLIGHT ENERGY RESOURCES IN 1:2 R/S 6/28/21 59134N104	TRCH	1
06/24/21	06/24/21	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-
06/28/21	06/28/21	Margin	Delivered - Other	TORCHLIGHT ENERGY RESOURCES IN 1:2 R/S 6/28/21 59134N104 1:2 REVERSE SPLIT TO META MATERIALS INC 59134N104 Auto Reorg#539843 REVERSE SPLIT	TRCH	2,829-

Statement for Account # 237-476012

06/01/21 - 06/30/21

Account Activity						
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity
06/28/21	06/28/21	Margin	Received - Other	META MATERIALS INC COM 1:2 REVERSE SPLIT TO META MATERIALS INC 59134N104 Auto Reorg#539843 REVERSE SPLIT	MMAT	1,414
06/28/21	06/28/21	Margin	Journal - Expense	MANDATORY REORGANIZATION FEE Auto Reorg#539843	89102U103	-
06/29/21	06/29/21	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-
06/28/21	06/30/21	Margin	Sell - Securities Sold	META MATERIALS INC COM	MMAT	4-
06/28/21	06/30/21	Margin	Sell - Securities Sold	META MATERIALS INC COM	MMAT	4-
06/28/21	06/30/21	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	4
06/28/21	06/30/21	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	1
06/28/21	06/30/21	Margin	Sell - Securities Sold	META MATERIALS INC COM	MMAT	20-
06/28/21	06/30/21	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	20
06/28/21	06/30/21	Margin	Sell - Securities Sold	META MATERIALS INC COM Regulatory Fee 0.07	MMAT	411-
06/28/21	06/30/21	Margin	Sell - Securities Sold	META MATERIALS INC COM	MMAT	10-
06/28/21	06/30/21	Margin	Sell - Securities Sold	META MATERIALS INC COM Regulatory Fee 0.16	MMAT	990-
06/28/21	06/30/21	Margin	Buy - Securities Purchased	METAMATERIAL INC EXC 6/29/21 RESEARCHING Commission/Fee 6.95	59134F101	235
06/28/21	06/30/21	Margin	Buy - Securities Purchased	METAMATERIAL INC EXC 6/29/21 RESEARCHING Commission/Fee 6.95	59134F101	564

Statement for Account # 237-476012

06/01/21 - 06/30/21

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity
06/30/21	06/30/21	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-
06/30/21	06/30/21	Margin	Div/Int - Expense	MARGIN INTEREST CHARGE Payable: 06/30/2021	-	-

Closing Balance

*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

TD Ameritrade Cash Interest Credit/Expense

Begin Date	Margin Balance	Credit Balance	Number of Days	Interest Rate
06/28/21	\$ (35.77)	\$ -	2	9.50

Total Interest Income/(Expense)

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued
06/01/21	\$ 57.41	6	0.0100	\$ -
06/07/21	303.75	1	0.0100	-
06/08/21	298.35	1	0.0100	-
06/09/21	42.74	2	0.0100	-
06/11/21	56.73	5	0.0100	-
06/16/21	1,507.95	1	0.0100	-
06/17/21	7.00	5	0.0100	-
06/22/21	2.25	2	0.0100	-
06/24/21	2.23	4	0.0100	-
06/30/21	0.32	1	0.0100	-

Total Interest Income

Trades Pending Settlement

Investment Description	Account Type	Symbol/ CUSIP	Quantity	Price	Trade Date	\$
BUY META MATERIALS INC COM	Margin	MMAT	9	\$ 8.13	06/29/21	07

Statement for Account # 237-476012

06/01/21 - 06/30/21

Insured Deposit Account Activity						
Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code
Opening Balance						
06/01/21	-	06/01/21	Delivered	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-
06/07/21	-	06/07/21	Received	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-
06/08/21	-	06/08/21	Delivered	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-
06/09/21	-	06/09/21	Delivered	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-
06/11/21	-	06/11/21	Received	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-
06/16/21	-	06/16/21	Received	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-
06/17/21	-	06/17/21	Delivered	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-
06/22/21	-	06/22/21	Delivered	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-
06/24/21	-	06/24/21	Delivered	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-
06/29/21	-	06/29/21	Delivered	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-

Statement for Account # 237-476012

06/01/21 - 06/30/21

Insured Deposit Account Activity						
Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code
06/30/21	-	06/30/21	Received	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-

Closing Balance**TD Bank NA**

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by one or more banks ("Program Banks"). Two of the TD Bank USA, N.A., both affiliates of TD Ameritrade. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage

Important Information**BREAKPOINTS**

Certain purchases of Class A Mutual Funds may be eligible for breakpoints on, and waivers of, the sales charge. To learn more about breakpoint <http://www.finra.org/industry/issues/breakpoints>. For more information on waiver eligibility, please refer to the fund prospectus.

STATEMENT OF FINANCIAL CONDITION

The most recent statement of financial condition for TD Ameritrade Clearing, Inc. may be obtained at no cost, via the Internet at <http://www.tdameritrade.com> by contacting TD Ameritrade Clearing, Inc. at 1-800-669-3900. As of March 31, 2021, TD Ameritrade Clearing, Inc. had net capital and a net capital of \$100 million, respectively. A copy of the report and comments is currently available for customers' inspection at the principal office of TD Ameritrade Clearing, Inc.

FINRA INFORMATION

Required Annual FINRA Information: Investors may request disclosable background information on any U.S. licensed broker or broker-dealer via www.brokercheck.finra.org. Additionally, FINRA has a brochure which describes the BrokerCheck program. You may request this brochure at www.finra.org or by calling (800) 289-9999, the BrokerCheck Hotline Number.

EXCESS BANK CHANGE AND FDIC INSURED DEPOSIT ACCOUNT ("IDA") ELIGIBILITY CHANGES

The following changes have been made to the TD Ameritrade FDIC Insured Deposit Account Sweep Program.

Effective June 30, 2021:

- **Excess Bank Change** –TD Ameritrade has changed the "Excess Bank" for the IDA Sweep Program from TD Bank USA, N.A. to Charles Schwab Bank, N.A. This change will not impact the level of your available FDIC insurance. The list of Program Banks, including "the Excess Bank" can be found on our web tdameritrade.com/idaprogrambanks.

Effective July 1, 2021:

- **Cross Border Cash Eligibility Change** – Brokerage accounts held by clients who reside outside of the United States and its territories are no longer eligible for the IDA Sweep Feature. Going forward, accounts enrolled in the IDA Sweep Feature that move out of the United States and its territories will be moved to the TD Ameritrade Cash Feature. *TD Ameritrade will provide at least 30 days' advance written notice for changes to an account cash feature.*
- **IDA Asset Eligibility Change** – Other than managed accounts or retirement accounts, retail brokerage accounts with assets less than \$100,000 are no longer eligible for the IDA Sweep Feature. TD Ameritrade may change the cash feature for these accounts from the IDA Sweep Feature to the TD Ameritrade Cash Feature. *TD Ameritrade will provide at least 30 days' advance written notice for changes to an account cash feature.*

Statement for Account # 237-476012

06/01/21 - 06/30/21

Important Information

No action is required. For more information, please refer to the Cash Features Program section in your Client Agreement.



Ameritrade

PO BOX 2577
OMAHA NE 68103-2577

ALAN E WEISS ROLLOVER IRA TD
AMERITRADE CLEARING, CUSTODIAN
5029 E HOBART ST
MESA, AZ 85205-6882

Terms and Conditions

TD Ameritrade provides monthly statements for accounts with activity and quarterly statements for inactive accounts with assets.¹

Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month.² The asset allocation indicates your portfolio diversification by investment type.

Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity / (Total Long Marginable Value + Total Short Value)

Activity Summary: The opening and closing cash balances are reconciled here for quick reference. All account activity is summarized for the current period and year-to-date.

Income & Expense Summary (non-IRAs only): This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

Retirement Account Summary (IRAs only): Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current account value including Alternative Investments as of December 31 of the previous year and will be furnished to the IRS.

Performance Summary: Monitor annual portfolio performance and the unrealized gains and losses for your future investment strategy.

Account Positions: View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income² and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period). This statement represents a statement of account assets and account activity of your account only. There is no guarantee that you will receive this amount or any dividend.

Account Activity: All account activity is listed in date order, and reflected in the closing cash balance.

Trades Pending Settlement: Confirm executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

Online Cash Services Transaction Detail: Cash management transactions that cleared during this period, including check, debit card and ATM activity, are listed in date order.

Online Cash Services Summary: Credit and debit transaction totals for the current period are summarized. Individual transactions are not listed.

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary.

Accuracy of Reports: Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please contact us at 800-669-3900 within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

Account Protection: TD Ameritrade FDIC Insured Deposit Account ("IDA") deposits are held at one or more banks ("Program Banks"). Three of the Program Banks are Charles Schwab Bank, SSB; Charles Schwab Premier Bank, SSB; and Charles Schwab Trust Bank, each an affiliate of TD Ameritrade. IDA deposits are insured by the FDIC (not covered by SIPC) up to \$250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to www.fdic.gov. Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of SIPC, which protects securities customers of its members up to \$500,000 (including \$250,000 for claims for cash). Explanatory brochure is available on request at www.sipc.org.

Additionally, TD Ameritrade provides each client \$149.5 million worth of protection for securities and \$2 million of protection for cash through supplemental coverage provided by London insurers. In the event of brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of \$152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of \$500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the FDIC or any other government agency. Although certain money market funds may seek to preserve their value of your investment at \$1 per share, it is possible to lose money by investing in such a fund. Mutual fund purchases may be subject to eligibility and other restrictions, as well as charges and expenses. Certain money market funds may impose liquidity fees and redemption gates in certain circumstances.

Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

¹Due to rounding adjustments, the statement details may not equal the statement totals.

²Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

Tax Reporting: The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

Cost Basis: Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

Margin and Options Account Agreements: Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. Commission/Fee represents base commission and any options contract, exercise, and assignment fees.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Not Available ("NA"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits ("CDs") is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

Bonds Quantity: The figure on the statement represents the number of bonds. For example, \$3,000 of a \$1,000 face value bond would display as "3" in the quantity.

Alternative Investments ("AI"), also called Non Standard Assets ("NSA"), are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. AI are typically illiquid investments and do not trade on a national securities exchange. The values shown for these investments are estimated values derived from various methods, including, but not limited to, unconfirmed management estimates, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Not Available ("NA"). For additional detail regarding valuation for Alternative Investments, please contact Client Services. These investments are not covered under the SIPC. AI transaction fees are applicable to purchases, capital call processing, and redemptions.

Δ Distributions on Direct Participation Programs and/or REITs are reported and a net investment per share estimated value is also reported. Pricing and distribution information has been provided by the sponsor, issuer or other external party responsible for reporting of the DPP or REIT and the classification of distributions as income or return of capital, in whole or in part, is subject to final accounting by such party(ies) and will be reported to you on a Form 1099 or K-1, as applicable.

Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS may lack liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal and state laws, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or www.finra.org.

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

MSRB RULE G-10: TD Ameritrade, Inc. is registered with the Municipal Securities Rulemaking Board and the U.S. Securities and Exchange Commission. The website address for the Municipal Securities Rulemaking Board is <http://www.msrb.org>. An investor brochure is available on the website of the Municipal Securities Rulemaking Board which describes the protections that may be provided by the Municipal Securities Rulemaking Board rules and how to file a complaint with an appropriate regulatory authority.

Financial Statement Notification: The statement of financial condition for TD Ameritrade Clearing, Inc. is available twice a year and may be obtained at no cost, via the internet at <http://www.tdameritrade.com/financialstatement.html>.

Option Assignment: All is available for assignment. E allocated among all clients'

Free Credit Balances (Rul free credit balances in your payable to you on demand.

Margin Accounts (Regula your margin account and a risks and is not suitable for available in the margin risk contacting us at the numbe

Payment for Order Flow Dis broker-dealers to disclose, up (i) its policies regarding rec payment for order flow is re nature of the compensation orders that are the subject

The firm receives compens selected market centers (e. execution). Compensation gr potential for receipt of order also may receive compensa in foreign securities from m TD Ameritrade posts quarter routes orders in NMS Secu centers. This report is made written form upon request. provide the identity of the n months prior to the request, 1 time of the executions, if ar order flow.

TD Ameritrade regularly ass route order flow in seeking policy to route orders to mar the Supplemental Materials limited to, speed of execution, p likelihood of executions, the i the reliability of order hand whether the firm will receive improvement occurs when ar national best bid or offer.

Trade Confirmations (Rul you participate in the Divide dividends will be included c your DRIP purchases exco

Futures/Options Disclosu Insurance-based Investor European Economic Area (following landing page: <http://www.tdameritrade.com>

Taxes: Transactions in for U.S.) may include taxes an may be reflected in the pric

Privacy Policy Notification www.tdameritrade.com

Callable Securities: The i system. A description of the copies are available upon r

In case of errors or questio 1-800-669-3900, or in writr clientservices@tdameritrad binding upon you if you do Regulation E, such as ATM withdrawals. We must hear statement on which the err (1) Tell us your name and (2) Describe the error or it can why you believe it (3) Tell us the dollar amou. We will investigate your cor business days to do this, w you will have the use of the



Statement Reporting Period:

06/01/21 - 06/30/21

800-669-3900
 TD AMERITRADE
 DIVISION OF TD AMERITRADE INC
 PO BOX 2209
 OMAHA, NE 68103-2209
 TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 237-476457

ALAN E WEISS ROLLOVER IRA TD
 AMERITRADE CLEARING, CUSTODIAN
 5029 E HOBART ST
 MESA, AZ 85205-6882

Portfolio Summary						
Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	\$ -	\$ -	\$ -	-	\$ -	-
Insrd Dep Acct (IDA)	5.55	12.37	(6.82)	(55.1)%	-	0.01%
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	11,534.25	19,410.91	(7,876.66)	(40.6)%	-	-
Short Stocks	-	-	-	-	-	-
Fixed Income	-	-	-	-	-	-
Options	-	-	-	-	-	-
Short Options	-	-	-	-	-	-
Mutual Funds	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total	\$11,539.80	\$19,423.28	(\$7,883.48)	(40.6)%	\$ 0.00	0.0%

Cash Activity Summary			Retirement Account Summary			Perform
	Current	YTD		2021 PTD	2021 YTD	2020 YTD
Opening Balance	\$ 0.00	\$ -	Contributions	\$ -	\$50.00	\$ -
Securities Purchased	(57,056.81)	(166,961.71)	Distributions	-	-	-
Securities Sold	57,087.99	145,486.94	Plan Contribution	-	-	-
Contributions	-	21,556.28	Rollover	-	21,550.81	-
Distributions	-	-	Direct Transfer	-	2,058.77	-
Income	-	0.02	Tax Withheld	-	-	-
Expense	(38.00)	(76.00)	Recharact	-	-	-
Other	6.82	(5.53)	Roth Conv.	-	-	-
Closing Balance	\$ 0.00	\$0.00				

Statement for Account # 237-476457

06/01/21 - 06/30/21

Income Summary Detail	
Description	Current
Interest Income Credit Balance	\$ 0.00
IDA Interest	0.00

*This section displays current and year to date totals for this account. The year to date totals will accurately reflect your cumulative amount for the year. Year-end tax reporting income is based on monthly statements versus your tax documents. Please reference your official tax document(s) for tax reporting.

Account Positions							
Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost
Stocks - Margin							
METAMATERIAL INC EXC 6/29/21 RESEARCHING	59134F101	845	\$ 13.65	\$ 11,534.25	-	\$ -	\$ -
Total Stocks				\$11,534.25		\$0.00	
Total Margin Account				\$11,534.25		\$0.00	

Account Activity							
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	
Opening Balance							
06/03/21	06/03/21	Margin	Div/Int - Securities Sold	PROSHARES TRUST 1:4 R/S 5/25/21 74347G648 REORGANIZATION CASH IN LIEU \$33.6058/SHARE Auto Reorg#534272 Payable: 05/25/2021	74347G648	-	
06/04/21	06/04/21	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	
06/04/21	06/08/21	Margin	Buy - Securities Purchased	CLOVER HEALTH INVESTMENTS CORP COM CL A	CLOV	1	
06/08/21	06/08/21	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	
06/07/21	06/09/21	Margin	Sell - Securities Sold	DIREXION SHARES ETF TRUST DRX S&P500BULL ETF	SPXL	1-	100

Statement for Account # 237-476457

06/01/21 - 06/30/21

Account Activity						
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity
06/07/21	06/09/21	Margin	Sell - Securities Sold	DIREXION SHARES ETF TRUST DAILY S&P 500 BEAR 3X SHARES	SPXS	1-
06/07/21	06/09/21	Margin	Sell - Securities Sold	PROSHARES TRUST ULTRPRO DOW30 ETF	UDOW	1-
06/07/21	06/09/21	Margin	Sell - Securities Sold	ASIA BROADBAND INC COM Commission/Fee 6.95 Regulatory Fee 6.03	AABB	92,800-
06/07/21	06/09/21	Margin	Sell - Securities Sold	ASIA BROADBAND INC COM	AABB	4,800-
06/07/21	06/09/21	Margin	Sell - Securities Sold	PROSHARES TRUST ULTRAPRO SHORT DOW 30 ETF	SDOW	1-
06/07/21	06/09/21	Margin	Buy - Securities Purchased	CAL-BAY INTERNATIONAL COM Commission/Fee 6.95	CBYI	692,880
06/07/21	06/09/21	Margin	Buy - Securities Purchased	CAL-BAY INTERNATIONAL COM Commission/Fee 6.95	CBYI	2,107,120
06/07/21	06/09/21	Margin	Buy - Securities Purchased	CAL-BAY INTERNATIONAL COM	CBYI	200,000
06/09/21	06/09/21	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-
06/17/21	06/17/21	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-
06/16/21	06/18/21	Margin	Sell - Securities Sold	CAL-BAY INTERNATIONAL COM Commission/Fee 6.95 Regulatory Fee 5.96	CBYI	634,529-
06/16/21	06/18/21	Margin	Sell - Securities Sold	CAL-BAY INTERNATIONAL COM Regulatory Fee 0.05	CBYI	1,909,003-
06/16/21	06/18/21	Margin	Buy - Securities Purchased	CAFE SERENDIPITY HOLDINGS COM Commission/Fee 6.95	CAFS	27,298
06/16/21	06/18/21	Margin	Buy - Securities Purchased	CAFE SERENDIPITY HOLDINGS COM	CAFS	55,002
06/16/21	06/18/21	Margin	Buy - Securities Purchased	CAFE SERENDIPITY HOLDINGS COM	CAFS	15,000

Statement for Account # 237-476457

06/01/21 - 06/30/21

Account Activity						
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity
06/16/21	06/18/21	Margin	Buy - Securities Purchased	CAFE SERENDIPITY HOLDINGS COM	CAFS	28,500
06/16/21	06/18/21	Margin	Buy - Securities Purchased	CAFE SERENDIPITY HOLDINGS COM	CAFS	8,210
06/16/21	06/18/21	Margin	Sell - Securities Sold	Commission/Fee 6.95 CAL-BAY INTERNATIONAL COM	CBYI	456,468-
06/16/21	06/18/21	Margin	Sell - Securities Sold	Commission/Fee 6.95 Regulatory Fee 5.96		
06/17/21	06/21/21	Margin	Buy - Securities Purchased	TORCHLIGHT ENERGY RESOURCES IN	TRCH	767
06/17/21	06/21/21	Margin	Sell - Securities Sold	1:2 R/S 6/28/21 59134N104 CAFE SERENDIPITY HOLDINGS COM	CAFS	25,000-
06/17/21	06/21/21	Margin	Sell - Securities Sold	Commission/Fee 6.95 Regulatory Fee 2.99		
06/17/21	06/21/21	Margin	Sell - Securities Sold	CAFE SERENDIPITY HOLDINGS COM	CAFS	77,181-
06/17/21	06/21/21	Margin	Sell - Securities Sold	Regulatory Fee 3.02		
06/17/21	06/21/21	Margin	Buy - Securities Purchased	TORCHLIGHT ENERGY RESOURCES IN	TRCH	1,976
06/17/21	06/21/21	Margin	Buy - Securities Purchased	1:2 R/S 6/28/21 59134N104		
06/17/21	06/21/21	Margin	Buy - Securities Purchased	TORCHLIGHT ENERGY RESOURCES IN	TRCH	199
06/17/21	06/21/21	Margin	Buy - Securities Purchased	1:2 R/S 6/28/21 59134N104		
06/17/21	06/21/21	Margin	Buy - Securities Purchased	TORCHLIGHT ENERGY RESOURCES IN	TRCH	13
06/17/21	06/21/21	Margin	Sell - Securities Sold	1:2 R/S 6/28/21 59134N104 CAFE SERENDIPITY HOLDINGS COM	CAFS	9,000-
06/17/21	06/21/21	Margin	Sell - Securities Sold	Commission/Fee 6.95 Regulatory Fee 1.08		
06/17/21	06/21/21	Margin	Sell - Securities Sold	CAFE SERENDIPITY HOLDINGS COM	CAFS	8,163-
06/17/21	06/21/21	Margin	Sell - Securities Sold	Regulatory Fee 0.97		
06/17/21	06/21/21	Margin	Sell - Securities Sold	CAFE SERENDIPITY HOLDINGS COM	CAFS	9,000-
06/17/21	06/21/21	Margin	Sell - Securities Sold	Regulatory Fee 1.08		

Statement for Account # 237-476457

06/01/21 - 06/30/21

Account Activity						
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity
06/17/21	06/21/21	Margin	Sell - Securities Sold	CAFE SERENDIPITY HOLDINGS COM Regulatory Fee 0.68	CAFS	5,666-
06/18/21	06/22/21	Margin	Sell - Securities Sold	PROSHARES TRUST ULTRAPRO QQQ ETF	TQQQ	1- 11
06/18/21	06/22/21	Margin	Sell - Securities Sold	PALANTIR TECH INC COM CL A	PLTR	1- 25
06/18/21	06/22/21	Margin	Sell - Securities Sold	CLOVER HEALTH INVESTMENTS CORP COM CL A	CLOV	1-
06/18/21	06/22/21	Margin	Sell - Securities Sold	PROSHARES TRUST ULTRAPRO SHORT QQQ NEW 2020	SQQQ	1- 10
06/18/21	06/22/21	Margin	Buy - Securities Purchased	TORCHLIGHT ENERGY RESOURCES IN 1:2 R/S 6/28/21 59134N104	TRCH	29
06/22/21	06/22/21	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-
06/28/21	06/28/21	Margin	Delivered - Other	TORCHLIGHT ENERGY RESOURCES IN 1:2 R/S 6/28/21 59134N104 1:2 REVERSE SPLIT TO META MATERIALS INC 59134N104 Auto Reorg#539843 REVERSE SPLIT	TRCH	2,984-
06/28/21	06/28/21	Margin	Received - Other	META MATERIALS INC COM 1:2 REVERSE SPLIT TO META MATERIALS INC 59134N104 Auto Reorg#539843 REVERSE SPLIT	MMAT	1,492
06/28/21	06/28/21	Margin	Journal - Expense	MANDATORY REORGANIZATION FEE Auto Reorg#539843	89102U103	-
06/29/21	06/29/21	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-
06/28/21	06/30/21	Margin	Sell - Securities Sold	META MATERIALS INC COM	MMAT	4-

Statement for Account # 237-476457

06/01/21 - 06/30/21

Account Activity						
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity
06/28/21	06/30/21	Margin	Sell - Securities Sold	META MATERIALS INC COM	MMAT	1-
06/28/21	06/30/21	Margin	Sell - Securities Sold	META MATERIALS INC COM Regulatory Fee 0.24	MMAT	1,487-
06/28/21	06/30/21	Margin	Buy - Securities Purchased	METAMATERIAL INC EXC 6/29/21 RESEARCHING Commission/Fee 6.95	59134F101	845
06/30/21	06/30/21	Margin	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-

Closing Balance

*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

Insured Deposit Account Interest Credited				
Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued
06/01/21	\$ 12.37	3	0.0100	\$ -
06/04/21	20.77	4	0.0100	-
06/08/21	11.69	1	0.0100	-
06/09/21	221.44	8	0.0100	-
06/17/21	3.02	5	0.0100	-
06/22/21	1.71	6	0.0100	-
06/30/21	5.55	1	0.0100	-

Total Interest Income

Statement for Account # 237-476457

06/01/21 - 06/30/21

Insured Deposit Account Activity						
Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code
Opening Balance						
06/04/21	-	06/04/21	Received	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-
06/08/21	-	06/08/21	Delivered	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-
06/09/21	-	06/09/21	Received	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-
06/17/21	-	06/17/21	Delivered	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-
06/22/21	-	06/22/21	Delivered	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-
06/29/21	-	06/29/21	Delivered	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-
06/30/21	-	06/30/21	Received	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-

Closing Balance**TD Bank NA**

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by one or more banks ("Program Banks"). Two of the TD Bank USA, N.A., both affiliates of TD Ameritrade. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage

Important Information**BREAKPOINTS**

Certain purchases of Class A Mutual Funds may be eligible for breakpoints on, and waivers of, the sales charge. To learn more about breakpoint <http://www.finra.org/industry/issues/breakpoints>. For more information on waiver eligibility, please refer to the fund prospectus.

Statement for Account # 237-476457

06/01/21 - 06/30/21

Important Information

STATEMENT OF FINANCIAL CONDITION

The most recent statement of financial condition for TD Ameritrade Clearing, Inc. may be obtained at no cost, via the Internet at <http://www.tdameritrade.com> by contacting TD Ameritrade Clearing, Inc. at 1-800-669-3900. As of March 31, 2021, TD Ameritrade Clearing, Inc. had net capital and a net capital of \$100 million, respectively. A copy of the report and comments is currently available for customers' inspection at the principal office of TD Ameritrade Clearing, Inc.

FINRA INFORMATION

Required Annual FINRA Information: Investors may request disclosable background information on any U.S. licensed broker or broker-dealer via the BrokerCheck tool. Visit brokercheck.finra.org. Additionally, FINRA has a brochure which describes the BrokerCheck program. You may request this brochure at www.finra.org or by calling (800) 289-9999, the BrokerCheck Hotline Number.

EXCESS BANK CHANGE AND FDIC INSURED DEPOSIT ACCOUNT ("IDA") ELIGIBILITY CHANGES

The following changes have been made to the TD Ameritrade FDIC Insured Deposit Account Sweep Program.

Effective June 30, 2021:

- **Excess Bank Change** –TD Ameritrade has changed the "Excess Bank" for the IDA Sweep Program from TD Bank USA, N.A. to Charles Schwab Bank, N.A. This change will not impact the level of your available FDIC insurance. The list of Program Banks, including "the Excess Bank" can be found on our web site at tdameritrade.com/idaprogrambanks.

Effective July 1, 2021:

- **Cross Border Cash Eligibility Change** – Brokerage accounts held by clients who reside outside of the United States and its territories will be moved to the TD Ameritrade Cash Feature. Going forward, accounts enrolled in the IDA Sweep Feature that move out of the United States and its territories will be moved to the TD Ameritrade Cash Feature. *TD Ameritrade will provide at least 30 days' advance written notice for changes to an account cash feature.*
- **IDA Asset Eligibility Change** – Other than managed accounts or retirement accounts, retail brokerage accounts with assets less than \$100,000 will be moved to the TD Ameritrade Cash Feature. TD Ameritrade may change the cash feature for these accounts from the IDA Sweep Feature to the TD Ameritrade Cash Feature. *TD Ameritrade will provide at least 30 days' advance written notice for changes to an account cash feature.*

No action is required. For more information, please refer to the Cash Features Program section in your Client Agreement.